



FEDERAL SECTION

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FEDERAL COMMUNICATIONS COMMISSION

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DA 99-1647

Released: August 17, 1999

**Common Carrier Bureau Seeks Comment on Lockheed Martin IMS
Corporation and Warburg, Pincus & Company Amended Request for
Expeditious Review of the Transfer of the Lockheed Martin
Communications Industry Services Business**

(CC Docket No. 92-237)
NSD File No. 98-151

Comments due: September 7, 1999**Reply Comments due: September 17, 1999**

On August 16, 1999, Lockheed Martin IMS Corporation (Lockheed) filed an Amended Request (Amended Request) for Expeditious Review of the transfer of the Lockheed Martin Communications Industry Services (CIS) business to newly formed NeuStar, Inc., formerly known as CIS Acquisition Corporation (CISAC), to ensure the continued neutrality of the North American Numbering Plan Administrator (NANPA). Lockheed/CIS currently serves as the North American Numbering Plan Administrator.¹

The Amended Request replaces the December 21, 1998, Request for Expedited Review of the proposed transfer of Lockheed's Communications Industry Services (CIS) business to Warburg, Pincus & Co. (Warburg), which was filed pursuant to the terms of a Transaction Agreement between Lockheed and Warburg, dated December 15, 1998.² Prior to the Commission's issuance of a ruling on the pending matter, the CIS Acquisition Corporation, on July 1, 1999, terminated the December 15, 1998, Transaction Agreement.³

¹ In the Matter of the North American Numbering Plan and Toll Free Service Access Codes, *Third Report and Order and Third Report and Order*, 12 FCC Rcd 23040, 23042 (1997).

² In the Matter of Request of Lockheed Martin Corporation and Warburg, Pincus & Co., for Review of the Transfer of the Lockheed Martin Communications Industry Services Business from Lockheed Martin Corporation to an Affiliate of Warburg, Pincus & Co., NSD File No. 98-151 (Dec. 21, 1998).

³ See *Ex Parte* letter from Cheryl Tritt, Counsel to Lockheed Martin IMS, to Magalie Roman Salas, Secretary, FCC (July 1, 1999).

In the Amended Request, the parties propose to transfer CIS to an independent company, NeuStar, Inc. A majority (59 percent) of the voting shares of NeuStar will be controlled by an irrevocable, independent voting trust. Warburg Pincus Equity Partnership, L.P. (WPEP), however, will retain an economic interest in the shares that are owned by the voting trust. NeuStar management will acquire a 28.1 percent equity interest, WPEP will also control a 9.9 percent voting interest, and Lockheed will retain a three percent ownership interest in NeuStar. The parties state that they are restructuring the ownership of the entity acquiring CIS in order to eliminate any possibility that Warburg could use its ultimate ownership interest in the NANPA or Local Number Portability Administration (LNPA) to advantage any other telecommunications investments.

We seek public comments on the Amended Request. **An electronic copy of the Amended Request is available on the Internet at <http://www.fcc.gov/ccb/Nanc/NANCCorr.htm>.** We also invite the North American Numbering Council (NANC) to provide us with a recommendation on whether the proposed business arrangement will fully satisfy the needs of the telecommunications industry for a neutral third party numbering administrator. Should the NANC choose to provide its recommendation, we request that it be submitted by September 7, 1999.

FOR FURTHER INFORMATION CONTACT: Tejal Mehta or Jeannie Grimes at (202) 418-2320 or via the Internet at tmehta@fcc.gov or jgrimes@fcc.gov. The address is: Network Services Division, Common Carrier Bureau, Federal Communications Commission, The Portals II, 445 Twelfth Street, S.W., Suite 6A320, Washington, DC 20554. The fax number is: (202) 418-2345. The TTY number is: (202) 418-0484.

Comments should be filed by September 7, 1999 and reply comments are due by September 17, 1999. This proceeding is treated as permit-but-disclose for purposes of the Commission's *ex parte* rules. See 47 C.F.R. § 1.1206.

Comments may be filed using the Commission's Electronic Comment Filing System (ECFS) or by filing paper copies. See *Electronic Filing of Documents in Rulemaking Proceedings*, 63 Fed. Reg. 24,121 (1998). Comments filed through the ECFS can be sent as an electronic file via the Internet to <<http://www.fcc.gov/e-file/ecfs.html>>. Generally, only one copy of an electronic submission must be filed. In completing the transmittal screen, commenters should include their full name, Postal Service mailing address, and the applicable docket or rulemaking number, which in this instance is **CC Docket No. 92-237**. Parties may also submit an electronic comment by Internet e-mail. To get filing instructions for e-mail comments, commenters should send an e-mail to ecfs@fcc.gov, and should include the following words in the body of the message, "get form <your e-mail address>." A sample form

and directions will be sent in reply.

Parties who choose to file by paper must file an original and four copies of each filing. All filings must be sent to the Commission's Secretary, Magalie Roman Salas, Office of the Secretary, Federal Communications Commission, 445 Twelfth Street, S.W., Room TW-B204F, Washington, DC 20554. Parties who choose to file by paper should also submit their comments on diskette. These diskettes should be submitted to Jeannie Grimes, Common Carrier Bureau, Network Services Division, 445 Twelfth Street, S.W., Room 6-A423, Washington, DC 20554. Such a submission should be on a 3.5 inch diskette formatted in an IBM compatible format using MSWord 7.0 for Office 98 or other compatible software. The diskette should be accompanied by a cover letter and should be submitted in "read only" mode. The diskette should be clearly labelled with: (1) the commenter's name, (2) proceeding (including the docket number), (3) type of pleading (comment or reply comment), (4) date of submission, and (5) the name of the electronic file on the diskette. The label should also include the following phrase "Disk Copy - Not an Original." Each diskette should contain only one party's pleading, preferably in a single electronic file. In addition, commenters must send diskette copies to the Commission's copy contractor, International Transcription Service, Inc. (ITS), 445 12th Street, Suite CY-B400, Washington, DC 20554.

Regardless of whether parties choose to file electronically or by paper, parties should also file one copy of any documents filed in this docket with ITS, 445 12th Street, Suite CY-B400, Washington, DC 20554. Comments and reply comments will be available for public inspection during regular business hours in the **FCC Reference Center, 445 12th Street, S.W., Washington, DC 20554.**

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